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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/867,743	05/31/2001	Sean Crispian Keeping	P07239US00/RFH	5723

881 7590 12/18/2002

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EXAMINER

POPOVICS, ROBERT J

ART UNIT PAPER NUMBER

1724

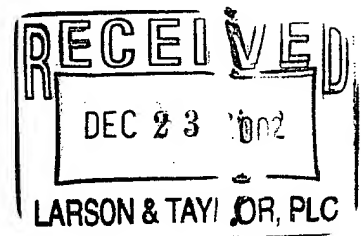
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DATE MAILED: 12/18/2002

3-18-2003

Please find below and/or attached an Office communication concerning this application or proceeding.

*Atty Note:  
"Off. A. Summary"  
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but this appears to  
be a regular office  
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No action needed.*



*JB:  
Please check this OA.  
thanks  
12-30-02 Can  
Called. left message*

# Office Action Summary

Application No.

09/867,743

Applicant(s)

Keeping et al. <sup>16</sup>

Examiner

Popovics

Group Art Unit

1724

— The MAILING DATE of this communication appears on the cover sheet beneath the correspondence address —

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE Three MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, such period shall, by default, expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- ☐ Responsive to communication(s) filed on \_\_\_\_\_
- ☐ This action is **FINAL**.
- ☐ Since this application is in condition for allowance except for formal matters, **prosecution as to the merits is closed** in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 453 O.G. 213.

## Disposition of Claims

- ☐ Claim(s) \_\_\_\_\_ is/are pending in the application.
- ☐ Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- ☐ Claim(s) \_\_\_\_\_ is/are rejected.
- ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- ☐ Claim(s) \_\_\_\_\_ are subject to restriction or election requirement

## Application Papers

- ☐ The proposed drawing correction, filed on \_\_\_\_\_ is ☐ approved ☐ disapproved.
- ☐ The drawing(s) filed on \_\_\_\_\_ is/are objected to by the Examiner
- ☐ The specification is objected to by the Examiner.
- ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. § 119 (a)-(d)

- ☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119 (a)-(d).
- ☐ All ☐ Some\* ☐ None of the:
  - ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_
  - ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a))

\*Certified copies not received: \_\_\_\_\_

## Attachment(s)

- ☐ Information Disclosure Statement(s), PTO-1449, Paper No(s). \_\_\_\_\_
- ☐ Interview Summary, PTO-413
- ☐ Notice of Reference(s) Cited, PTO-892
- ☐ Notice of Informal Patent Application, PTO-152
- ☐ Notice of Draftsperson's Patent Drawing Review, PTO-948
- ☐ Other \_\_\_\_\_

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## DETAILED ACTION

### *Election/Restriction*

1. Applicant's election with traverse of Group II in Paper No. 11 is acknowledged. The traversal is on the ground(s) that "the basic inventive concepts are the same in the two sets of claims. This is not found persuasive because the apparatus can be used in materially different processes that are precluded by the method claims. If the two sets of claims essentially mean the same thing, (i.e., constitute the same "basic inventive concept"), then one wonders why the two sets are presented, especially in view of 35 USC § 271(a).

The requirement is still deemed proper and is therefore made FINAL.

### *Claim Rejections - 35 USC § 102*

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

3. Claims 7,12-13 are rejected under 35 U.S.C. 102(b) as being anticipated by Brown (4,039,450). See Figure 1.

4. Claims 7-9 and 12-14 are rejected under 35 U.S.C. 102(b) as being anticipated by Beer (4,260,496). See Figure 3.

5. Claims 7-9,12-13 are rejected under 35 U.S.C. 102(b) as being anticipated by Sandov et al. (4,681,688). See Figure 1.

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*Claim Rejections - 35 USC § 103*

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

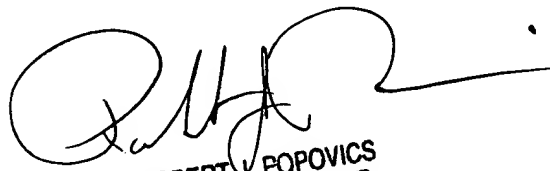
(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over either of Beer (4,260,496) or Sandov et al. (4,681,688). Claim 11 differs from the references as applied above by specifying that the pump means is arranged to pump fluid back through the filter element to effect back-flushing of the filter element. It is notoriously well known in the art to employ pumps to effect back-flushing in the filtration arts. Accordingly, it is submitted that it would have been obvious to one of ordinary skill in the art at the time the invention was made to arrange the pump means to pump fluid back through the filter element to effect back-flushing of the filter element.

*Allowable Subject Matter*

8. Claim 10 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Examiner Popovics whose telephone number is (703) 308-0684.

  
ROBERT V. POPOVICS  
PRIMARY EXAMINER

RJP  
December 15, 2002